

ROGER A. SZAJNGARTEN

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TEACHING EXPERIENCE

- **Teaching as an Adjunct Assistant Professor at John Jay College of Criminal Justice – Economics Department since 2014** specifically:
 - Introduction to Economics and Global Capitalism,
 - Global Development,
 - International Economics,
 - Money and Banking.
- **Member of the Executive Committee of the PSC** as well as **Alternate Delegate to DA** since 2019.
- Presented many articles/reports and lead discussions in the ICJ Master's Program at John Jay.
- Mentored and taught staff during 30+ years business career.
- Organized numerous seminars to educate executives about Mergers & Acquisitions "Do's and Don'ts."

EDUCATION

John Jay College of Criminal Justice, NY, <i>Masters in International Crime & Justice</i> Specializing in White Collar Crimes, Fraud & Regulatory Issues <i>Extensive credits for Advanced Certificate in Terrorism Studies</i> New York State Attorney General Office: Internship in the mediation section relating to Consumer Frauds.	2012 -2014
Columbia University, NY, <i>Masters of Business Administration</i> Majors in Finance and International Business, minors in Accounting and Economics.	1973 -1975
Technion - Israel Institute of Technology, Haifa, Israel, <i>Bachelor of Science</i> Major in Aeronautical Engineering, minor in Rocketry.	1969 -1973
University of Haifa, Israel, <i>Department of Sociology.</i> Admitted in second year.	1970 -1971
Mathematiques Superieures, Paris, France. Admitted in Mathematiques Speciales.	1968 -1969

ARTICLES & BLOG PARTICIPATION

You believe you may be indicted criminally. Would or should you go inquisitorial adversarial? (Spring 2013)
<http://crimcast.wordpress.com/2013/06/08/you-believe-you-may-be-indicted-criminally-would-you-or-should-you-go-inquisitorial-or-adversarial/>

Grand Jury System and Investigating Magistrate in Civil. a research paper on comparative law submitted to Academy of Criminal Justice Sciences and Midwestern Criminal Justice Association (Spring 2013).

Liborgate. presented a research paper on the largest and latest financial scandal to shake the City in London (Spring 2013).

Bribery in the global insurance brokerage market. completing a proposal for the funding of a research project into this little known, but critical, industry (Fall 2013).

Payday loans fraud and globalization in the age of the internet – A policy recommendation (Summer 2015);
Published in Journal of Money Laundering, Emerald
<https://www.emerald.com/insight/content/doi/10.1108/JMLC-05-2015-0016/full/html>

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Hamas Suicide Bombing Wave of 1994 to 1997: presented a literature review of the various aspects of suicide bombings as it relates to Hamas (Summer 2013).

Hezbollah, an overall assessment: an independent study to assess the overall capacity, tactical and strategical actions of this international group and its potential impact for the U.S. (Summer 2013).

BUSINESS EXPERIENCE

Self-employed Consultant, NY Since 2012
Advising various Private Equities, Management Consultants and Hedge Funds regarding investments in Insurance industry.

Independent Arbitrator FINRA Since 2015

Willis Group Holdings, Managing Director, NY & London, UK 2006 - 2012
A global \$3+ billion risk management consulting and insurance brokerage firm.

- **Senior Adviser** to Corporate Development and Willis Capital Markets & Advisory
Skilled in function and tools from an Investment Banking viewpoint and governance as member of Fairness Opinion Committee.
- **Head of Global Strategic Development/Mergers & Acquisitions**
Executed over 50 acquisitions, divestitures and special projects with transaction / business values ranging from \$5m to \$2b acquisition, investment, & joint venture in numerous countries.

Self-employed Entrepreneur & Consultant, NY 2005 - 2006
Aquiline Capital Partners (advising on M&A), Integro and Kinloch (start up and capital raising).

Marsh & McLennan Companies, Inc, Managing Director, NY 1980 - 2005
A global \$12+ billion insurance brokerage, consulting, employee benefits and mutual funds firm

- **Treasurer**
- In charge of investment of \$5 b worldwide, cash mobilization and foreign exchange.
- **Head of Corporate Development**
Led and negotiated over 200 acquisitions, divestitures and joint ventures in more than 35 countries.
- **Head of Special Projects**
Examples included changing business model in light of IT advances, London Headquarters build or lease, handling via derivatives exposure associated with pension exposure, major capital expenditure reviews.

ITT, Corning Glass, Celanese, SCM, General Instruments, Paris, France & NY 1975 - 1980
• **Controller, Auditor and Financial Analyst**

136 East 56th Street Owners, Inc 1983 - 2000

- **President, Treasurer and Director**
Led successfully for this non-profit corporation, a state and federal litigation resulting in, among others, in the leading decision in the field of the Condominium and Cooperative Abuse Protection and Relief Act.

The Alfred Condominium Association

- **Board Member** Since 2019

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Business career includes first-hand experience dealing with:

- Numerous international and domestic transactions and structuring thereof
- Mentoring and managing staff
- Various regulatory authorities such as Central Banks, Ministry of Finances
- Extensive compliance with Foreign Corrupt Practice Act and Anti-Bribery Act
- Implementation of Sarbanes-Oxley and internal control
- Competition laws such as Antitrust issues, Hart-Scott-Rodino filings
- Employment laws
- Compliance with Securities Laws
- Tax planning in a domestic and international context
- US GAAP, IFRS and local statutory accounting standards
- Domestic and international movement of funds
- Capital markets
- Fiduciary regulations
- Civil litigations
- Contract negotiations
- Board directorships

LANGUAGES & CULTURES

Fluent in French, Spanish and Hebrew.

Some conversational/reading ability in Italian.

Familiarity with various European, Middle Eastern, and Japanese cultures.